Attorney Docket: 014033-000037



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ross L. Stevens

Serial Number: 10/711,889 Filed: October 12, 2004 Group Art Unit: 3624

Confirmation No. 5888

Group Art Unit: 3624 Examiner: Unassigned

For: METHOD AND SYSTEM FOR BLOCK TRADING OF SECURITIES

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56 AND 37 C.F.R. § 1.97

It is respectfully requested that the document(s) listed on the attached Form PTO/SB/08A be considered by the Patent and Trademark Office in the above-referenced application and made of record therein. Full text copies of the relevant documents are enclosed.

Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. 37 CFR § 1.97(e)(1). Respectfully submitted,

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